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PATENT #4



Attorney Docket No. Le A 34 980

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Helmut Haning, et al.

Group No.: 1614

Serial No.: 10/026,023

Examiner:

Filed: 12/21/2001

For: Indole Derivatives

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8
The undersigned hereby certifies that this paper and any papers referred to as attached is/are being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on this 13th day of MAY, 2002.

[Signature]
Signature of Person Depositing

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

Dear Sir:

Applicants wish to cite for the record in the above-identified application the references shown on the accompanying copy of PTO form 1449.

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement transmitted herewith is being filed **before** the mailing date of the first Office action on the merits.

FEE PAYMENT

Applicants believe that no fees are due with this submission. However, the Commissioner is hereby authorized to charge any fees that may have been overlooked but that are required to Deposit Account 13-3372. Additionally, please credit any overpayment to the same account.

Respectfully submitted,

[Signature: Jerrie L. Chiu]
Jerrie L. Chiu
Attorney for Applicant(s)
Bayer Corporation
400 Morgan Lane
West Haven, CT 06516

Reg. No. 41,670

Telephone: (203) 812-3964

Date: *May 13, 2002*